




Financial Institution Name:  
Location (Country):


No #	Question	Answer
<b>1. ENTITY &amp; OWNERSHIP</b>		
1	Full Legal name	
2	Append a list of foreign branches which are covered by this questionnaire (if applicable)	
3	Full Legal (Registered) Address	
4	Full Primary Business Address (if different from above)	
5	Date of Entity incorporation/establishment	
6	Select type of ownership and append an ownership chart if available	
6 a	Publicly Traded (25% of shares publicly traded)	
6 a1	If Y, indicate the exchange traded on and ticker symbol	
6 b	Member Owned/Mutual	
6 c	Government or State Owned by 25% or more	
6 d	Privately Owned	
6 d1	If Y, provide details of shareholders or ultimate beneficial owners with a holding of 10% or more	
7	% of the Entity's total shares composed of bearer shares	
8	Does the Entity, or any of its branches, operate under an Offshore Banking License (OBL) ?	
8 a	If Y, provide the name of the relevant branch/es which operate under an OBL	
9	Does the Bank have a Virtual Bank License or provide services only through online channels?	
10	Provide Legal Entity Identifier (LEI) if available	
<b>2. AML, CTF &amp; SANCTIONS PROGRAMME</b>		
11	Does the Entity have a programme that sets minimum AML, CTF and Sanctions standards regarding the following components:	
11 a	Appointed Officer with sufficient experience/expertise	
11 b	Adverse Information Screening	
11 c	Beneficial Ownership	
11 d	Cash Reporting	
11 e	CDD	
11 f	EDD	

11 g	Independent Testing	
11 h	Periodic Review	
11 i	Policies and Procedures	
11 j	PEP Screening	
11 k	Risk Assessment	
11 l	Sanctions	
11 m	Suspicious Activity Reporting	
11 n	Training and Education	
11 o	Transaction Monitoring	
12	Is the Entity's AML, CTF & Sanctions policy approved at least annually by the Board or equivalent Senior Management Committee?	
13	Does the Entity use third parties to carry out any components of its AML, CTF & Sanctions programme?	
13 a	If Y, provide further details	
14	Does the entity have a whistleblower policy?	
<b>3. ANTI BRIBERY &amp; CORRUPTION</b>		
15	Has the Entity documented policies and procedures consistent with applicable ABC regulations and requirements to reasonably prevent, detect and report bribery and corruption?	
16	Does the Entity's internal audit function or other independent third party cover ABC Policies and Procedures?	
17	Does the Entity provide mandatory ABC training to:	
17 a	Board and Senior Committee Management	
17 b	1st Line of Defence	
17 c	2nd Line of Defence	
17 d	3rd Line of Defence	
17 e	Third parties to which specific compliance activities subject to ABC risk have been outsourced	
17 f	Non-employed workers as appropriate (contractors/consultants)	
<b>4. AML, CTF &amp; SANCTIONS POLICIES &amp; PROCEDURES</b>		
18	Has the Entity documented policies and procedures consistent with applicable AML, CTF & Sanctions regulations and requirements to reasonably prevent, detect and report:	
18 a	Money laundering	
18 b	Terrorist financing	
18 c	Sanctions violations	
19	Does the Entity have policies and procedures that:	
19 a	Prohibit the opening and keeping of anonymous and fictitious named accounts	
19 b	Prohibit the opening and keeping of accounts for unlicensed banks and/or NBFIs	
19 c	Prohibit dealing with other entities that provide banking services to unlicensed banks	
19 d	Prohibit accounts/relationships with shell banks	
19 e	Prohibit dealing with another Entity that provides services to shell banks	
19 f	Prohibit opening and keeping of accounts for Section 311 designated entities	
19 g	Prohibit opening and keeping of accounts for any of unlicensed/unregulated remittance agents, exchanges houses, casa de cambio, bureaux de change or money transfer agents	
19 h	Assess the risks of relationships with domestic and foreign PEPs, including their family and close associates	
19 i	Define the process for escalating financial crime risk issues/potentially suspicious activity identified by employees	
19 j	Outline the processes regarding screening for sanctions, PEPs and Adverse Media/Negative News	

20	Has the Entity defined a risk tolerance statement or similar document which defines a risk boundary around their business?	
21	Does the Entity have record retention procedures that comply with applicable laws?	
21 a	If Y, what is the retention period?	
<b>5. KYC, CDD and EDD</b>		
22	Does the Entity verify the identity of the customer?	
23	Do the Entity's policies and procedures set out when CDD must be completed, e.g. at the time of onboarding or within 30 days?	
24	Which of the following does the Entity gather and retain when conducting CDD? Select all that apply:	
24 a	Customer identification	
24 b	Expected activity	
24 c	Nature of business/employment	
24 d	Ownership structure	
24 e	Product usage	
24 f	Purpose and nature of relationship	
24 g	Source of funds	
24 h	Source of wealth	
25	Are each of the following identified:	
25 a	Ultimate beneficial ownership	
25 a1	Are ultimate beneficial owners verified?	
25 b	Authorised signatories (where applicable)	
25 c	Key controllers	
25 d	Other relevant parties	
26	Does the due diligence process result in customers receiving a risk classification?	
27	Does the Entity have a risk based approach to screening customers and connected parties to determine whether they are PEPs, or controlled by PEPs?	
28	Does the Entity have policies, procedures and processes to review and escalate potential matches from screening customers and connected parties to determine whether they are PEPs, or controlled by PEPs?	
29	Is KYC renewed at defined frequencies based on risk rating (Periodic Reviews)?	
29 a	If yes, select all that apply:	
29 a1	Less than one year	
29 a2	1 – 2 years	
29 a3	3 – 4 years	
29 a4	5 years or more	
29 a5	Trigger-based or perpetual monitoring reviews	
29 a6	Other (please specify)	
30	From the list below, which categories of customers or industries are subject to EDD and/or are restricted, or prohibited by the Entity's FCC programme?	
30 a	Arms, Defence, Military	
30 b	Respondent Banks	
30 b1	If EDD or EDD & restricted, does the EDD assessment contain the elements as set out in the Wolfsberg Correspondent Banking Principles 2022?	
30 c	Embassies/Consulates	
30 d	Extractive industries	
30 e	Gambling customers	
30 f	General Trading Companies	
30 g	Marijuana-related Entities	
30 h	MSB/MVTS customers	
30 i	Non-account customers	
30 j	Non-Government Organisations	
30 k	Non-resident customers	

30 l	Nuclear power	
30 m	Payment Service Providers	
30 n	PEPs	
30 o	PEP Close Associates	
30 p	PEP Related	
30 q	Precious metals and stones	
30 r	Red light businesses/Adult entertainment	
30 s	Regulated charities	
30 t	Shell banks	
30 u	Travel and Tour Companies	
30 v	Unregulated charities	
30 w	Used Car Dealers	
30 x	Virtual Asset Service Providers	
30 y	Other (specify)	
31	If restricted, provide details of the restriction	
<b>6. MONITORING &amp; REPORTING</b>		
32	Does the Entity have risk based policies, procedures and monitoring processes for the identification and reporting of suspicious activity?	
33	What is the method used by the Entity to monitor transactions for suspicious activities?	
33 a	If manual or combination selected, specify what type of transactions are monitored manually	
34	Does the Entity have regulatory requirements to report suspicious transactions?	
34 a	If Y, does the Entity have policies, procedures and processes to comply with suspicious transactions reporting requirements?	
35	Does the Entity have policies, procedures and processes to review and escalate matters arising from the monitoring of customer transactions and activity?	
<b>7. PAYMENT TRANSPARENCY</b>		
36	Does the Entity adhere to the Wolfsberg Group Payment Transparency Standards?	
37	Does the Entity have policies, procedures and processes to comply with and have controls in place to ensure compliance with:	
37 a	FATF Recommendation 16	
37 b	Local Regulations	
37 b1	If Y, Specify the regulation	
37 c	If N, explain	
<b>8. SANCTIONS</b>		
38	Does the Entity have a Sanctions Policy approved by management regarding compliance with sanctions law applicable to the Entity, including with respect to its business conducted with, or through accounts held at foreign financial institutions?	
39	Does the Entity have policies, procedures or other controls reasonably designed to prohibit and/or detect actions taken to evade applicable sanctions prohibitions, such as stripping, or the resubmission and/or masking, of sanctions relevant information in cross border transactions?	

40	Does the Entity screen its customers, including beneficial ownership information collected by the Entity, during onboarding and regularly thereafter against Sanctions Lists?	
41	Select the Sanctions Lists used by the Entity in its sanctions screening processes:	
41 a	Consolidated United Nations Security Council Sanctions List (UN)	
41 b	United States Department of the Treasury's Office of Foreign Assets Control (OFAC)	
41 c	Office of Financial Sanctions Implementation HMT (OFSI)	
41 d	European Union Consolidated List (EU)	
41 e	Lists maintained by other G7 member countries	
41 f	Other (specify)	
42	Does the Entity have a physical presence, e.g. branches, subsidiaries, or representative offices located in countries/regions against which UN, OFAC, OFSI, EU or G7 member countries have enacted comprehensive jurisdiction-based Sanctions?	
<b>9. TRAINING &amp; EDUCATION</b>		
43	Does the Entity provide mandatory training, which includes:	
43 a	Identification and reporting of transactions to government authorities	
43 b	Examples of different forms of money laundering, terrorist financing and sanctions violations relevant for the types of products and services offered	
43 c	Internal policies for controlling money laundering, terrorist financing and sanctions violations	
43 d	New issues that occur in the market, e.g. significant regulatory actions or new regulations	
44	Is the above mandatory training provided to :	
44 a	Board and Senior Committee Management	
44 b	1st Line of Defence	
44 c	2nd Line of Defence	
44 d	3rd Line of Defence	
44 e	Third parties to which specific FCC activities have been outsourced	
44 f	Non-employed workers (contractors/consultants)	
<b>10. AUDIT</b>		
45	In addition to inspections by the government supervisors/regulators, does the Entity have an internal audit function, a testing function or other independent third party, or both, that assesses FCC AML, CTF, ABC, Fraud and Sanctions policies and practices on a regular basis?	
<p><b>Signature Page</b></p> <p>Wolfsberg Group Financial Crime Compliance Questionnaire 2023 (FCCQ V1.2)</p> <p>_____ (Financial Institution name)</p> <p>I, _____ (Senior Compliance Manager- Second Line representative), certify that I have read and understood this declaration, that the answers provided in this Wolfsberg FCCQ are complete and correct to my honest belief.</p> <p> _____ (Signature &amp; Date)</p>		

No #	QUESTION	ANSWERS
<b>1. ENTITY &amp; OWNERSHIP</b>		
	If appropriate, provide any additional information/context to the answers in this section.	No additional information.
<b>2. AML, CTF &amp; SANCTIONS PROGRAMME</b>		
	If appropriate, provide any additional information/context to the answers in this section.	# 12: Procedures must be kept up-to-date on a regular basis. In absence of a specified timeframe, default review period is 36 months from validation date. However a review/update is done at any time if circumstances demand it.
<b>3. ANTI BRIBERY &amp; CORRUPTION</b>		
	If appropriate, provide any additional information/context to the answers in this section.	<p># 17 a: The Board of Directors is provided with a training session on all Financial Security Topics including the fight against corruption, every two years.</p> <p># 17 b: For all the Group employees, there is the mandatory training "Conduct Journey" with modules on Financial Security covering notably ABC. This is an awareness training.</p> <p># 17 c: For all the Group employees, there is the mandatory training "Conduct Journey" with modules on Financial Security covering notably ABC. This is an awareness training. In addition, since 2022, a specific anti-corruption certification (ACAMS) was introduced to train ABC Correspondents in Compliance.</p> <p># 17 d: For all the Group employees, there is the mandatory training "Conduct Journey" with modules on Financial Security covering notably ABC. This is an awareness training. In addition, auditors are following an IG (Inspection Générale) training on anti-corruption topics.</p> <p># 17 e: No compliance activity subject to ABC has been outsourced to third parties.</p> <p># 17 f: Local labour laws (notably in France) do not always allow the training of contractors/consultants.</p>
<b>4. AML, CTF &amp; SANCTIONS POLICIES &amp; PROCEDURES</b>		
	If appropriate, provide any additional information/context to the answers in this section.	<p># 19 b - The KYC policy prohibits relationships with banks, OFI and insurance companies without proof of license when submitted to licensing requirements.</p> <p># 19 c: The KYC policy prohibits relationships with banks confirming offering banking services to banks, OFI and insurance companies without proof of license when submitted to licensing requirements.</p> <p>Furthermore, payments from third banks can only be processed through a relationship (which implies a RMA key) or through an interbank settlement system. An unlicensed bank cannot process such payments.</p>
<b>5. KYC, CDD and EDD</b>		
	If appropriate, provide any additional information/context to the answers in this section.	<p>#25 d - Directors, guarantors, parent companies, legal representatives of individuals.</p> <p>#30 e - Unlicensed gambling customers (online and physical) are prohibited.</p> <p>#30 h - Relationships with unlicensed MSB/MVTS are prohibited in countries where a licence is mandatory.</p> <p>#30 i - Performing transactions with occasional clients without performing due diligence measures is prohibited.</p> <p>#30 l - No prohibition according to the list of sensitive sectors of the Group.</p> <p>#30 m - Relationships with unlicensed PSPs are prohibited in countries where a licence is mandatory.</p> <p>#30 v - In France charities are not regulated.</p>
<b>6. MONITORING &amp; REPORTING</b>		
	If appropriate, provide any additional information/context to the answers in this section.	No additional information.
<b>7. PAYMENT TRANSPARENCY</b>		
	If appropriate, provide any additional information/context to the answers in this section.	No additional information.
<b>8. SANCTIONS</b>		
	If appropriate, provide any additional information/context to the answers in this section.	#41 - UN, EU, OFAC and French sanctions lists are used group wide. Other lists (including HMT OFSI and other G7 countries) are applied to each relevant jurisdiction.
<b>9. TRAINING &amp; EDUCATION</b>		
	If appropriate, provide any additional information/context to the answers in this section.	<p>#43 - BNPP has implemented a Group-wide training program for the certification of Compliance Officer employees who hold key positions, linked or exposed to Sanctions, Sanctions-related KYC, and related reputational risks. As of 2024, there are approximately 400 active certified employees in more than 45 countries across the three regions, EMEA, AMERICAS, APAC where the Group is present.</p> <p>#44 f - For all external staff with access to group's AML tools.</p>
<b>10. AUDIT</b>		
	If appropriate, provide any additional information/context to the answers in this section.	No additional information.

CERTIFICATION REGARDING CORRESPONDENT ACCOUNTS FOR FOREIGN BANKS - List of BNP Paribas SA branches as of March 2025 (with an update in May on the Swiss branch, following a change in the legal structures)				
Country	Official company name	Usual denomination	Complete address	Banking Authority
ARGENTINA	BNP PARIBAS BUENOS AIRES BRANCH	BNPP SA Buenos Aires Branch - AR	Bouchard, 547, Floor 26 Buenos Aires ARGENTINA	Central Bank of Argentina (BCRA)
AUSTRALIA	BNP PARIBAS SYDNEY BRANCH	BNPP SA Sydney Branch - AU	BNP Paribas Centre, Level 6, 60 Castlereagh Street NSW 2000 SYDNEY AUSTRALIE	Australian Prudential Regulation Authority/Australian Securies and Investments commission
AUSTRIA	BNP PARIBAS S.A. AUSTRIA BRANCH	BNPP SA Vienne Branch - AT	13/3/B Vordere Zollamtsstrasse 1030 Vienna AUTRICHE	Austrian Financial Market Authority (Finanzmarktaufsicht - FMA)
BAHRAIN	BNP PARIBAS BAHRAIN FULL COMMERCIAL BANK	BNPP Conventional Retail Bank Manama Branch - BH	Bahrain Financial Harbour - West Tower, Manama BAHREIN	Central Bank of Bahrain
BAHRAIN	BNP PARIBAS- CONVENTIONAL WHOLESALER BANK- BAHRAIN BRANCH	BNPP SA Conventional Wholesale Bank Manama Branch - BH	King Faisal Highway PO Box 5253 Manama BAHREIN	Central Bank of Bahrain
BELGIUM	BNP PARIBAS BELGIAN BRANCH	BNPP SA Brussels Branch - BE	3, MONTAGNE DU PARC 1000 BRUSSELS BELGIQUE	National Bank of Belgium (NBB)/Financial Services and Market Authority (FSMA)
BULGARIA	BNP PARIBAS S.A. - SOFIA BRANCH	BNPP SA Sofia Branch - BG	Mladost 4 district, Business park Sofia 1766 Sofia BULGARIE	Bulgarian National Bank/Financial Intelligence Directorate of National Security Agency/Bulgarian Financial Supervision Commission
CANADA	BNP PARIBAS MONTREAL BRANCH	BNPP SA Montreal Branch - CA	2001 Boulevard Robert-Bourassa, H3A 2A6 Montreal CANADA	Office of the Superintendent of Financial Institutions (OSFI)
CZECH REPUBLIC	BNP PARIBAS S.A. POBOCKA CESKA REPUBLIKA	BNPP SA Pobocka Ceska Republika - CZ	Milevska 5, Praha 4 14000 Praga TCHEQUE, REPUBLIQUE	Czech National Bank (Česká národní banka)
DENMARK	BNP PARIBAS S.A. DENMARK, FILIAL AF BNP PARIBAS S.A, FRANKRIG	BNPP SA Copenhagen Branch - DK	Adelgade 12, 3 DK-1304 Copenhagen DANEMARK	Danish financial supervisory authority (Finanstilsynet)
FINLAND	BNP PARIBAS SA, SIVULIIE SUOMESSA	BNPP SA Finland Branch - FI	3 Aleksanterinkatu 44 00.100 Helsinki FINLANDE	Finnish Financial Supervisory Authority
GERMANY	BNP PARIBAS S.A. NIEDERLASSUNG DEUTSCHLAND	BNPP SA Frankfurt Branch - DE	Senckenberganlage 19 D-60325 Frankfurt am Main ALLEMAGNE	Federal Financial Supervisory Authority (BaFin)
GREECE	BNP PARIBAS SA ATHENS BRANCH	BNPP SA Athens Branch - GR	2 Lampsakou str 11528 Athens GRECE	Bank of Greece - Hellenic Capital Markets Commission
Guernsey	BNP PARIBAS S.A., GUERNSEY BRANCH	BNPP SA St Peter Port Branch - GG	St Julians Avenue - St Peter Port GY1 3WE Guernsey Guernesey	Guernsey Financial Services Commission
Hong Kong	BNP PARIBAS HONG KONG BRANCH	BNPP SA Hong Kong Branch - HK	60/F and 63/F., Two International Finance Centre, 8 Finance Street, Central Hong Kong	Hong Kong Monetary Authority (HKMA)
HUNGARY	BNP PARIBAS, HUNGARY BRANCH	BNPP SA Budapest Branch - HU	Teréz körút 55-57 H-1062 Budapest HONGRIE	Magyar Nemzeti Bank
INDIA	BNP PARIBAS MUMBAI BRANCH	BNPP SA Mumbai Branch - IN	1 North Avenue - BNP Paribas House Maker Maxity, Bandra - Kurla Complex 400051 Mumbai INDE	Reserve Bank of India
INDIA	BNP PARIBAS GIFT IBU	BNPP SA Gift IBU India Branch - IND	15/F Signature building, block 13-B, Zone 1 GIFT SEZ, Gift City, Gandhinagar 382355 Gujarat INDE	Reserve Bank of India

IRELAND	BNPP SA DUBLIN BRANCH - IE	BNPP SA Dublin Branch - IE	Termini, 3 Arkle Road, Sandyford D18 C9C5 Dublin IRLANDE	Irish Financial Services Regulatory Authority/Central Bank of Ireland
ITALY	BNPP SA MILAN BRANCH - IT	BNPP SA Milan Branch - IT	Piazza Lina Bo Bardi, 3 20124 Milano ITALIE	Bank of Italy/Commissione Nazionale per le Società e la Borsa
JAPAN	BNP PARIBAS TOKYO BRANCH	BNPP SA Tokyo Branch - JP	GranTokyo North Tower 100-6741 Tokyo JAPON	Financial Services Agency/Securities and Exchange Surveillance Commission
Jersey	BNP PARIBAS S.A., JERSEY BRANCH	BNPP SA St Helier Branch - JE	IFC 1 The Esplanade St Helier JE1 4BP jersey Jersey	Jersey Financial Services Commission
KOREA, REPUBLIC OF	BNP PARIBAS SEOUL BRANCH	BNPP SA Seoul Branch - KR	24th,25th Floor, State Tower Namsan 100, 100-052 Seoul COREE, REPUBLIQUE DE	Financial Services Commission
KUWAIT	BNP PARIBAS KUWAIT BRANCH	BNPP SA Safat Branch - KW	Dar Al Awadi Complex, 24th floor, 13072 Safat KOWEIT	Central Bank of Kuwait
LUXEMBOURG	BNPP SA LUXEMBOURG BRANCH - LU	BNPP SA Luxembourg Branch - LU	60 Avenue John F. Kennedy L-1855 Luxembourg LUXEMBOURG	Commission de Surveillance du Secteur Financier
MALAYSIA	BNP PARIBAS LABUAN BRANCH	BNPP SA Labuan Branch - MY	Level 9 (D), Main office tower Financial Park Labuan complex, Jalan Merdeka 87000 Federal Territory of Labuan MALAISIE	Bank Negara Malaysia /Securities Commission Malaysia /Labuan Financial Services Authority
MONACO	BNP PARIBAS MONACO BRANCH	BNPP SA Monaco Branch - MC	1 Boulevard des Moulins 99000 Monaco MONACO	Commission de contrôle des activités financières (CCAF)/Banque de France - ACPR
NETHERLANDS	BNP PARIBAS SA, NETHERLANDS BRANCH	BNPP SA Amsterdam Branch - NL	595 Herengracht 1017CE Amsterdam PAYS-BAS	Autoriteit Financiële Markten (AFM)/De Nederlandsche Bank (DNB)
NORWAY	BNP PARIBAS S.A. NORWAY BRANCH	BNPP SA Oslo Branch - NO	Støperigata 2 0250 Oslo NORVEGE	Financial Supervisory Authority of Norway (Finanstilsynet)
PHILIPPINES	BNP PARIBAS MANILLE BRANCH	BNPP SA Manille Branch - PH	32nd Floor, Philamlife Tower, Manila PHILIPPINES	Philippines Securities and Exchange Commission/Bangko Sentral ng Pilipinas
PHILIPPINES	BNP PARIBAS SOLUTIONS PHILIPPINES BRANCH	BNPP Solutions Manila Branch - PH	Unit 10-B, Six/Neo Building 5th Avenue Corner 26th Street 1634 Bonifacio Global City Taguig PHILIPPINES	Philippines Securities and Exchange Commission/Bangko Sentral ng Pilipinas
POLAND	BNP PARIBAS S.A. BRANCH IN POLAND	BNPP SA Warsaw Branch - PL	31 Wronia 00-846 Warsaw POLOGNE	Polish Financial Supervision Authority (KNF)
PORTUGAL	BNPP SA LISBON BRANCH - PT	BNPP SA Lisbon Branch - PT	2 Rua Galileu Galilei - 13º Piso 1500-392 Lisboa PORTUGAL	Banco de Portugal/Portuguese Securities Market Commission
QATAR	BNP PARIBAS DOHA BRANCH	BNPP SA Doha Branch - QA	61 Fundunq Street Doha QATAR	Qatar Central Bank/Qatar Financial Markets Authority
ROMANIA	BNP PARIBAS S.A. PARIS SUCURSALA BUCURESTI	BNPP SA Paris Sucursala Bucuresti - RO	46D-46E-48 Pipera 020112 Bucharest ROUMANIE	National Bank of Romania (Banca Națională a României)
SAUDI ARABIA	BNP PARIBAS SAUDI ARABIA BRANCH	BNPP SA Riyadh Branch - SA	Faisallah Tower, 26th floor, King Fahd Road, 11554 Riyadh ARABIE SAOUDITE	Capital Market Authority/Saudi Arabia Monetary Agency
SINGAPORE	BNP PARIBAS SA SINGAPORE BRANCH	BNPP SA Singapore Branch - SG	20 Collyer Quay #01-01 049319 Singapore SINGAPOUR	Monetary Authority of Singapore



SOUTH AFRICA	BNP PARIBAS SOUTH AFRICA	BNPP SA Johannesburg Branch - ZA	The Piazza, Building D 4th Floor 11 Crescent Drive Johannesburg AFRIQUE DU SUD	South African Reserve Bank/National Credit Regulator/Financial Services Board
SPAIN	BNP PARIBAS SA SUCURSAL EN ESPANA	BNPP SA Madrid Branch - ES	Calle Emilio Vargas 4 28043 Madrid ESPAGNE	Spanish Securities Market Commission (Comision Nacional del Mercado de Valores CNMV/ Banco de Espana (BdE)
SWEDEN	BNP PARIBAS SA, BANKFILIAL SVERIGE	BNPP SA Stockholm Branch - SE	Hovslagargatan 3 S10396 Stockholm SUEDE	Swedish Financial Supervisory Authority (Finansinspektionen)
SWITZERLAND	BNP PARIBAS PARIS ZURICH BRANCH	BNPP SA Zurich Branch - CH	Selnaustrasse 16 8022 Zurich SUISSE	Swiss Financial Market Supervisory Authority (FINMA)
SWITZERLAND	BNP PARIBAS, Paris, Lancy/Geneva branch SUISSE	BNPP SA Geneva Branch - CH	Esplanade de Pont-Rouge 9A PO Box CH-1211 GENEVA 26 Geneva SUISSE	Swiss Financial Market Supervisory Authority (FINMA)
TAIWAN, PROVINCE OF CHINA	BNP PARIBAS TAIPEI BRANCH	BNPP SA Taipei Branch - TW	71-72/F, No7 101 Tower Xin Yi Road Section 5 110202 Taipei TAIWAN, ROC	Securities and Futures Bureau/Financial Supervisory Commission
TAIWAN, PROVINCE OF CHINA	BNP PARIBAS SA (KAOHSIUNG BRANCH)	BNPP SA Kaohsiung Branch - TW	11/F , 2 Chung Cheng Third Road, 800 Kaohsiung TAIWAN, ROC	Securities and Futures Bureau/Financial Supervisory Commission
TAIWAN, PROVINCE OF CHINA	BNP PARIBAS OFFSHORE BANKING BRANCH	BNPP SA Offshore Banking Taipei Branch - TW	71-72/F, No7 101 Tower Xin Yi Road Section 5 110202 Taipei TAIWAN, ROC	Securities and Futures Bureau/Financial Supervisory Commission
TAIWAN, PROVINCE OF CHINA	BNP PARIBAS TAICHUNG BRANCH	BNPP SA Taichung Branch - TW	14F 179 Fuhuiyundao 407 Taichung City TAIWAN, ROC	Securities and Futures Bureau/Financial Supervisory Commission
THAILAND	BNP PARIBAS BANGKOK BRANCH	BNPP SA Bangkok Branch - TH	548 Ploenchit road, One City Centre, 41st floor, Lumpini, Pathum Wan 10330 Bangkok THAILANDE	Bank of Thailand/Office of the Securities and Exchange Commission, Thailand
UNITED ARAB EMIRATES	BNP PARIBAS ABU DHABI BRANCH	BNPP SA Abu Dhabi Branch - AE	Etihad Towers - Al Bateen - Abu Dhabi - United Arab Emirates P.O. Box 2742 Abu Dhabi EMIRATS ARABES UNIS	Central Bank of United Arab Emirates
UNITED ARAB EMIRATES	BNP PARIBAS DUBAI BRANCH	BNPP SA Dubai Branch - AE	Emaar Square PB 7233 Dubai EMIRATS ARABES UNIS	Central Bank of United Arab Emirates
UNITED ARAB EMIRATES	BNP PARIBAS ADGM BRANCH	BNPP ADGM Branch - AE	Al Khatem Tower 34657 Abu Dhabi EMIRATS ARABES UNIS	Central Bank of United Arab Emirates
UNITED ARAB EMIRATES	BNP PARIBAS SA (DIFC BRANCH)	BNP PARIBAS SA (DIFC BRANCH)	BNP Paribas SA (DIFC Branch) Dubai International Financial Center P.O. Box 506573 Dubai, United Arab Emirates EMIRATS ARABES UNIS	Central Bank of United Arab Emirates
UNITED KINGDOM	BNP PARIBAS LONDON BRANCH	BNPP SA London Branch - GB	10 Harewood Avenue NW1 6AA London ROYAUME-UNI	Bank of England/Prudential Regulation Authority/Financial Conduct Authority/Panel on Takeovers and Mergers/Financial Policy Committee
UNITED STATES OF AMERICA	BNP PARIBAS NEW YORK BRANCH	BNPP SA New York Branch - US	787 Seventh Avenue. 10019 New York ETATS-UNIS	Federal Reserve Bank of New York Department of Financial Services of New York
VIET NAM	BNP PARIBAS - HO CHI MINH CITY BRANCH, VIETNAM	BNPP SA Ho Chi Minh City Branch - VN	29 Le Duan Blvd Ben Nghe Ward, District 1 Ho chi minh city VIETNAM	State Securities Commission/State Bank of Vietnam
VIET NAM	BNP PARIBAS HANOI BRANCH	BNPP SA Hanoi Branch - VN	1 Thanh Nien Road, Truc Bach Ward, Ba Dinh District Hanoi VIETNAM	State Securities Commission/State Bank of Vietnam